PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REQUEST FOR FILING APPLICATION UNDER 37 CFR 1.53(b)

EXPRESS MAIL LABEL No.: <u>EL652560228US</u>
Date of Deposit: <u>July 6, 2001</u>

	This is a request for filing a Continuation Division application under 37CFR 1.53(b) (Rule 53(b)) of prior pending application:						
Ser File Art	ventor(s) : Gilbreth et al rial No. : 09/444,487 led : 11/19/99 t Unit : 2834 aminer : N. Ponomarenko	Jeans U.S.					
Ho	ox Patent Application on. Commissioner of Patents and Trademarks ashington, D. C. 20231						
1.	· · · · · · · · · · · · · · · · · · ·						
2.	Attached is a true copy of the prior application as originally filed, including: Abstract Specification and claims as originally filed (40 pgs.) Signed declaration or oath as originally filed Sheets of Drawings: 6 Verified Statement Claiming Small Entity Status STATEMENT OF INVENTORSHIP This Rule 53(b) application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventors in this application are:						
	the same						
	less than all of the inventors named in the prior application. Petition is hereby made requesting deletion as inventor(s) of the following who is/are not inventor(s) of the invention being claimed in this Rule 60 application: 1						
3.	<u>RELATE BACK – 35 U.S.C. 120</u>						
	Amend the specification by inserting before the first line the sentence:						
	This is a Continuation Division of co-pending application						
	Serial No. <u>09/444,487</u> filed <u>11/19/99</u> . International Application No filed on and which designated the U.S The Examiner is requested to review the references cited in the above-noted prior application and to make those references of record in the instant application.						

	4. PRIORITY CLAIM					
		Priority is hereby claimed under 35 U.S.C. 119/365 based on prior foreign application(s) No(s).:				
		filed in (country) on; and in (country) on, respectively.				
		Certified copy/copies is/are attached.				
		Certified copy/copies already filed on in prior U.S. application, Serial No. , filed on .				
	5.	<u>ASSIGNMENT</u>				
		The prior application is assigned of record to: <u>Capstone Turbine Corporation</u>				
		Attached is an assignment to:				
	6.	SMALL ENTITY STATUS				
		Verified Statement(s) establishing "small entity" status under Rules 9 and 27:				
		 \(\sum_{a} \) \				
(T)	7. MAINTENANCE OF CODEPENDENCY OF PRIOR APPLICATION					
U						
		is being concurrently filed in that prior application was previously filed in that prior application. is not necessary.				
	8.	POWER OF ATTORNEY				
		The power of attorney in the prior application is to all of IRELL & MANELLA LLP.				
		The power appears in the original papers of the prior application.				
		Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.				
		Recognize as associate attorneys:				

Address all future communications to Rachele Wittwer, IRELL & MANELLA LLP, 1800 Avenue of the

Stars, Suite 900, Los Angeles, CA 90067 (Telephone: 310-277-1010; Facsimile: 310-203-7199).

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9. <u>AMENDMENT</u>

- For the purpose of reducing the filing fee per MPEP 506 and 607, please enter the following claim cancellations before calculating the filing fee (do not cancel all claims) FIRST PRELIMINARY AMENDMENT:
- Preliminary amendent attached. (Please enter after assigned Serial No.)

10. FEE CALCULATION

THE FOLLOWING FILING FEE IS BASED ON CLAIMS REMAINING AFTER ENTRY OF PRELIMINARY AMENDMENT PER MPEP § 506 AND § 607

Basic Filing Fee \$710.00

Total Effective Claims

 $9 - 20 = 0 \times 18.00$

0.00

Independent Claims

o Li

M

1

 $9 - 3 = 6 \times \$80.00$

480.00

If any <u>proper</u> multiple dependent claim (ignore improper)

is present, add \$270.00

If Small Entity claim is present, enter one-half (1/2) of subtotal and subtract.

- 595.00

Total Filing Fee Enclosed \$595.00

No additional fees are believed to be due. If an extension of time is required for this paper or later filed papers, please consider this a petition for the required extension of time. Please charge any required petition fees, and any other fees, except for payment of the issue fee, and charge any underpayment or credit any overpayment during the prosecution of this application to our **Deposit account No. 09-0946** for which purpose a duplicate of this paper is enclosed.

Attached is a postcard acknowledging receipt of the documents transmitted.

Respectfully Submitted,

Robert Popa, Registration No. 43,010

IRELL & MANELLA LLP 1800 Avenue of the Stars, Suite 900 Los Angeles, California 90067

Telephone: (310) 277-1010 Facsimile: (310) 203-7199

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PATENT APPLICATION	N SERIAL	NO.	
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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FEE RECORD SHEET

Reeln. Ref: 08/06/2001 YBIZAW 0015132500 BAR:090946 Hame/Humber:09900635 FC: 704 \$240.00 CR

07/12/2001 CNGUYEN 00000013 09900635

01 FC:201 02 FC:202 355.00 OP

Adjustment date: 08/06/2001 YGIZAN 07/12/2001 CNGUYEN 00000013 09900635 02 FC:202 -240.00 OP

08/07/2001 YGIZAN 00000006 09900635

01 FC:202

200.00 OP

PTO-1556 (5/87)

*U.S. GPO: 2000-468-987/39595

Replm. Ref: 06/07/2001 Y61ZAW 001411177 DAM:090946 Name/Number:09900635 FC: 704 \$40.00 CR